

REMARKS

Claims 9-60 are now presented for examination. Claims 27 and 28 have been amended to so that they depend from claim 25, to ensure antecedent basis for the terms recited in those claims. Dependent claims 17 and 30 have been amended for clarification purposes. Claims 37-60 have been added to provide Applicant with a more complete scope of protection. Claims 9, 20, 37 and 45 are the only independent claims.

Claims 27-29 were rejected under 35 U.S.C. § 112, second paragraph, as indefinite. Claims 27 and 28 have been amended to depend from claim 25, to provide antecedent basis for the terms objected to in the Office Action. It is believed that the rejection under Section 112, second paragraph, has been obviated, and its withdrawal is therefore respectfully requested.

Claims 9-36 were rejected under 35 U.S.C. § 102(e) as anticipated by U.S. Patent 6,282,521 to Howorka, the inventor of the present application.

First, the present application is a continuation of Application No. 09/029,181, the same application that matured into the Howorka '521 patent. Thus, Howorka '521 is **not prior art** as to this, *its child application*. Provided herewith are copies of the papers that accompanied the specification and drawings of the present application, together with the return postcard. As can be seen, the application papers made very clear that the application is a continuation of Application No. 09/029,181. Moreover, there was included a directive to amend the specification, after the title to include the entire lineage all the way back to U.S. Provisional Application No. 60/002,856, from which both the present application and the application of Howorka '521 both claim original benefit.

Further, a PrintEFS Version 1.0.1 application data sheet was included with the original application papers that also clearly set forth that this application is a continuation of U.S. Application No. 09/029,181, which is a 371 of PCT/US96/14086, which itself claimed benefit of U.S. Provisional Application No. 60/002,856.

For some reason, the Patent and Trademark Office has never acknowledged this lineage in an Official Filing Receipt. It is requested that the entire claim of benefit, which was properly made in the original application papers, be acknowledged in response to this paper. It is further requested that the amendment to the specification originally filed be further amended to include the issue information of the parent, that is, be amended to further state that the parent (09/029,181) issued as U.S. Patent No. 6,282,521.

In any event, Section 102(e) would not apply here because Howorka is not by "another." That is, there is only one inventor in both the patent and this application, namely Edward R. Howorka. For at least the above reasons, the rejection should be withdrawn.

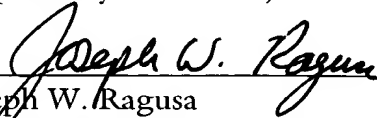
New claims 37-60 are patentable for at least the same reasons as claims 9-36. However, the news claims make more clear that the indication to first trader is that at least a portion of his quote can be accepted by, in claim 1 for example, more than one but less than all of the first trader's trading counterparties. This is supported in the grandparent PCT application PCT/US96/14086 at least at page 6, lines 12-17, which defines that a quote is hittable from a trading floor "if a regular size hit from that floor would be automatically matched with *some part of the quote*."

In view of the foregoing amendments and remarks, Applicant respectfully requests favorable reconsideration and early passage to issue of the present application.

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Respectfully submitted,

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